

Attorney Docket No.: 1027-1021

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Andrew D. Ellington, Michael P. Robertson, Kristin A. Marshall

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Serial No.: 09/661,658

Filing Date: September 14, 2000

Examiner: EPPS, JANET L.

Group No.: 1635

For: ALLOSTERICALLY REGULATED RIBOZYMES

Commissioner for Patents  
Washington, D.C. 20231

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

As listed on the accompanying form PTO-1449, submitted herewith are copies of the indicated references which applicants believe may be material to the examination of the above-identified application, and in respect of which there may be a duty to disclose in accordance with 37 CFR §1.56.

The filing of this supplemental information disclosure statement shall not be construed as a representation that a search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. Further, the filing of this supplemental information disclosure statement shall not be construed as an admission against interest in any manner. Written notification that the enclosed references have been considered in their entirety by return of a copy of the enclosed form, completed by the Examiner, is respectfully requested.

IDENTIFICATION OF TIME OF FILING THE  
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

- ☐ This Supplemental Information Disclosure Statement is being filed within three months of the filing date of the national application.
- ☐ This Supplemental Information Disclosure Statement is being filed within three months of the date of entry of the national stage as set forth in §1.491 in an international application.
- ☒ This Supplemental Information Disclosure Statement is being filed before the mailing date of the First Office Action on the merits.

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- ☐ This Supplemental Information Disclosure Statement is being filed after three months of the filing date of the national application or after three months of the date of entry of the national stage as set forth in § 1.491 in an international application or after the mailing date of the first Office Action on the merits, but before the mailing date of either a final action under § 1.113 or a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application.

Accompanying this transmittal is:

- ☐ a statement specified in 37 CFR § 1.97(e); or

- ☐ a fee set forth in 37 CFR § 1.17(p)

- ☐ This Supplemental Information Disclosure Statement is being filed after the mailing date of a final action under § 1.113, or a notice of allowance under § 1.311 but before the payment of the issue fee.

Accompanying this transmittal is:

1. a statement as specified in 37 CFR § 1.97(e); and
2. a fee set forth in 37 CFR § 1.17(p)

STATEMENT FOR SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT 37 CFR 1.97(e)  
(Only if Required Above)

I, the person(s) signing below hereby states that:

- ☐ each item of information contained in the supplemental information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the supplemental information disclosure statement. 37 CFR § 1.97(e)(1); or
- ☐ no item of information contained in the supplemental information disclosure statement was cited in a communication from a foreign patent office in the counterpart foreign application to the knowledge of the person signing the certification after making reasonably inquiry, no item of information contained in the supplemental information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the supplemental information disclosure statement. 37 CFR § 1.97(e)(2).

FEE PAYMENT

☐ attached is a check in the amount of \$ \_\_\_\_\_

☐ charge Deposit Account No. 07-0153  
in the amount \$ \_\_\_\_\_

Applicants believe no additional fees are due for the filing of this Supplemental Information Disclosure Statement. However, if any additional fees are due, or any overpayments have been made, please charge, or credit, Deposit Account No. 07-0153.

Dated: July 31, 2002

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